



## CERTIFICATE OF MAILING (37 CFR 1.8(A))

PATENT

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 6/14/01

*Laura J. Kelly*  
Laura J. Kelly

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JUN 19 2001

Technology Center 2600

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/748,949	FILING DATE 27 DEC 2000	FIRST NAMED INVENTOR FLICKINGER	ATTY. DKT. NO. T721-13
TITLE ADVERTISEMENT FILTERING AND STORAGE FOR TARGETED ADVERTISEMENT SYSTEMS		ART UNIT 2611	EXAMINER UNKNOWN

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby wish to make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in the examination of the above-identified patent application. Copies of the patent documents are enclosed.

This Information Disclosure Statement is being submitted:

- ☒ (1) within three (3) months of the filing date, entry into national phase in an international application or before the mailing date of the First Office Action; or
- ☐ (2) after the period defined in (1) above but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ Certification is made below, or
- ☐ The check, or authorization to charge deposit account, in the amount of the fee set forth by 37 C.F.R. §1.17(p) is attached.
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and
- ☐ Applicant hereby Petitions the Commissioner to consider the attached references; Certification is made below and the fee is included.



Attached hereto is Form PTO-1449 listing documents believed to be relevant to the above-captioned application. It is respectfully requested that these documents be considered by the Examiner. The relevance of each non-English document will be discussed as follows:

**REMARKS:**

None.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the Examiner considers otherwise, it is requested that the undersigned be contacted by telephone promptly so that any deficiency can be remedied.

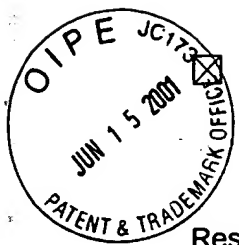
Some of the documents may have markings thereon. No significance is intended to be attached to the markings.

The submission of these documents is not intended to be deemed an admission that they constitute analogous art.

**CERTIFICATION**

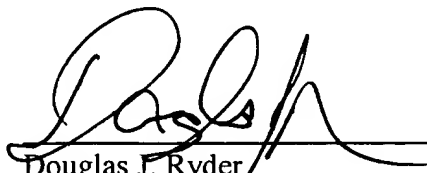
Applicant(s) certify that:

- ☐ (1) Each item or reference was cited in a communication from a foreign patent office in a corresponding foreign application not more than three months prior to the filing date of the Statement; or



- (2) No item or reference was cited in a communication from a foreign patent office in a corresponding foreign application or, to the knowledge of the Applicant(s), was not known more than three months prior to the filing of the Statement.

Respectfully submitted,

  
Douglas J. Ryder  
Reg. No. 43,073

Date: 6/12/01

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Please type a plus sign (+) inside this box → ☒

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i> <b>CUSTOMER NUMBER</b> 27832	<b>Application Number</b>	09/748,949	
	<b>Filing Date</b>	27 DECEMBER 2000	
	<b>First Named Inventor</b>	FLICKINGER <b>RECEIVED</b>	
	<b>Group Art Unit</b>	2611 JUN 19 2001	
	<b>Examiner Name</b>	UNKNOWN Technology Center 2600	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	T721-13

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Expanse Networks, Inc.
Signature	Douglas J. Ryder, Reg. No. 43,073
Date	6/13/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 19 Jun-2001	
Typed or printed name	Laura J. Kelly
Signature	Date 6/14/01

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Form PTO-1449

(REV. 8-83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

T721-13

INT. APPLN NO.

09/748,949

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

FLICKINGER ET AL.

INT. FILING DATE

27 DEC 2000

GROUP

2611

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JUN 19 2001

Technology Center 2600

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	6	0	2	2	7	9	07/86	Freeman	358	86	
	AB	5	1	5	5	5	9	1	10/92	Wachob	358	86	
	AC	5	4	1	0	3	4	4	04/95	Graves et al.	348	1	
	AD	5	4	4	6	9	1	9	08/95	Wilkins	455	6.2	
	AE	5	5	6	5	9	0	9	10/96	Thibadeau et al.	348	9	
	AF	5	6	0	0	3	6	6	02/97	Schulman	348	9	
	AG	5	6	6	1	5	1	6	08/97	Carles	348	8	
	AH	5	7	2	4	0	9	1	03/98	Freeman et al.	348	13	
	AI	5	7	5	8	3	2	8	05/98	Giovannoli	705	26	
	AJ	5	7	8	1	2	2	6	07/98	Sheehan	348	6	
	AK	5	7	9	0	9	3	5	08/98	Payton	455	5.1	
	AL	5	8	0	1	7	5	3	09/98	Eyer et al.	348	13	
	AM	6	0	8	8	7	2	2	07/00	Herz et al.	709	217	

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	BA	0	0	4	9	8	0	1	08/00	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CA		AdForce website "Enabling online Publishers and Ad agencies to Exploit the Full Potential of the Internet for Interactive Marketing" March, 2000, 2pp.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.